

**2023 Conference Program**

**(**[**www.compliancenet.org**](http://www.compliancenet.org)**)**

Hosted by:



June 21-23, 2023

**Wednesday, June 21**

**8:30am-9:00am: Check In**

**9:00am-9:15am: Welcome Remarks from ComplianceNet Convenor and American University, Washington College of Law Dean**

**9:15am-10:45am: Opening Plenary (Room: Grossman Hall, NT01-01)**

**Compliance: Contemporary Problems, Contemporary Solutions**

*Complying with Suptech*

Hillary Allen, American University

*Compliance’s Achilles Heel*

Miriam Baer, Brooklyn Law School

*Status-Based Accountability*

Claire Hill, University of Minnesota

Austin Lommen, University of Minnesota

Joe McGrath, University College Dublin

Ciaran Walker, Eversheds Sutherland Ireland

Niel Willardson, Federal Reserve Bank of Minneapolis

Chair: J.S. Nelson, Harvard University

**10:45am-11:15am: Tea and Coffee Break**

**11:15am-12:45 Panel Sessions**

**Cooperation, Conflict and Compliance (Room: NT14)**

*West Virginia v. EPA: Major Questions for the Future of the Administrative State and American Federalism*

Elysa Dishman, Brigham Young University

*Assessing the Efficacy of Compliance Monitoring in Multi-State Settlements*

Colin Provost, University College London

Elysa Dishman, Brigham Young University

Paul Nolette, Marquette University

*Corporate Cooperation*

Karen Woody, Washington and Lee University

Chair: Colin Provost, University College London

**The Many Contexts of Corruption (Room: NT15)**

*Accountability for Corruption in Education Policy: A Traumatized Systems Approach*

E. Christi Cunningham, Howard University

*Criminal Recordkeeping*

Andrew Jennings, Emory University

*Compliance and Anti-corruption policies regarding the imbalance between developed and developing countries*

Rafael Diniz Pucci, University of Sao Paulo

*Anti-Corruption Machine Learning: “Threat or Menace”?*

Nils Köbis, Max Planck Institute for Human Development

Fernanda Odilla,  BIT-ACT Project, University of Bologna

Jonathan J. Rusch, American University Washington College of Law

Chair: Jonathan J. Rusch, American University Washington College of Law

**12:45pm-2:15pm: Lunch**

**2:15pm-3:45pm Panel Sessions**

**Matching Policies with Target Populations (Room: NT14)**

*When do people accept government paternalism? Theory*

*and experimental evidence*

Clareta Treger, University of Toronto

*Nudges, Flexibility, and the Regulator’s Toolkit*

Christopher Carrigan, George Washington University

Cary Coglianese, University of Pennsylvania

*Compliance with Public Policy: a Multi-Target Perspective*

Anat Gofen, Hebrew University of Jerusalem

R. Kent Weaver, Georgetown University

Chair: Clareta Treger, University of Toronto

**The Workplace: Culture and Compliance (Room: NT15)**

*Development of a Public Sector Hybrid Workspace for behavioral and organisational change*

Linzi Ryan, Maynooth University

*Regaining Worker Autonomy*

J.S. Nelson, Harvard University

*Does voluntary regulation improve compliance with anti-discrimination law? Evidence from the UK Disability Confident Program*

Sarabajaya Kumar, University College London

Colin Provost, University College London

Chair: Linzi Ryan, Maynooth University

**3:45pm-4:15pm: Tea and Coffee Break**

**4:15pm-5:45pm Panel Sessions**

**The Context of Rules and Standards (Room: NT14)**

*Rules Alone Are Not Enough: Why Corruption Prevention Needs Integrity*

Stephen Gebauer, Wittenberg Center for Global Ethics

*A Tetrapartite Typology of Rules, Compliance Expectations, and Conduct in Regulatory Systems*

Marcus Moore, University of British Columbia

*Optimal Standardization: Professional Trade-offs in International Development Evaluation Criteria*

Claire Sieffert, New York University

Chair: Marcus Moore, University of British Columbia

**Compliance Limitations within Organisations (Room: NT15)**

*Challenges of compliance management in medium-sized companies*

Michael Lindemann, Bielefeld University

Janita Menke, Bielefeld University

*Broken Limits: Internal Control and Bank Distress*

David Wishnick, Georgetown University

*When Fire Alarm Needs Police Patrol: Evidence from Regulating Firm-Level Pollutant Emissions in China*

Shiran Victoria Shen, Stanford University

Qi Wang, Nanjing University

Bing Zhang, Nanjing University

Chair: David Wishnick, Georgetown University

**5:45pm-7:15pm: Reception with Drinks and Snacks**

**Thursday, June 22**

**9:00am-10:00am Plenary (Room: Grossman Hall, NT01-01)**

*Coffee and Conversation with Lauren Kootman*

Lauren Kootman, Assistant Chief, U.S. Department of Justice Fraud Section, Corporate Enforcement, Compliance and Policy Unit

Chair: Jonathan J. Rusch, American University

**10:00am-10:30am: Tea and Coffee Break**

**10:30am-12:00pm Panel Sessions**

**The Role of Regulatory Intermediaries in Compliance (Room: NT14)**

*Analyzing the psychopathology with financial crimes and the role legal counsel plays as a professional intermediary*

John Delatorre, Revolution Forensic and Consultation Services

Joan Labay-Marquez, University of the Incarnate Word

*Normalizing Corruption? The Worldview of Corruption Professionals*

Laureen Snyder, Queen’s University

Steven Bittle, University of Ottawa

*An Empirical Case Study on Academic Mobbing: Patterns, Impacts, and Strategies for Prevention and Addressing*

Qingli Meng, Niagara University

Chair: Laureen Snyder, Queen’s University

**Compliance Issues in Transnational Regulation (Room: NT15)**

*Science-based Treaties and Compliance*

Bashar Malkawi, University of Arizona

*The Global Compliance Dilemma*

Ji Li, University of California, Irvine

*Picking and choosing anti-money laundering regulation – the role of Financial Supervisory Authorities in the Baltic money laundering cases*

Agnes Kall, Sodertorn University

Chair: Ji Li, University of California, Irvine

**12:00pm-1:30pm: Lunch and Business Meeting**

**1:30pm-3:00pm Panel Sessions**

**Perceptions of Corporate Compliance (Room: NT14)**

*Perfect Compliance*

Veronica Root Martinez, Duke University

*Valuing Corporate Compliance*

Todd Haugh, Indiana University

Suneal Bedi, Indiana University

*Regulation, Propensity, and Beyond Compliance in The Environmental Field*

Jingwen Cai, Chinese University of Hong Kong

Ning Liu, City University of Hong Kong

Carlos Wing-Hung Lo, Chinese University of Hong Kong

Chair: Jingwen Cai, Chinese University of Hong Kong

**Human Behaviour and the Law (Room: NT15)**

*Branding Corporate Criminals*

W. Robert Thomas, University of Michigan

Mihailis E. Diamantis, University of Iowa

*Law for Empaths*

Michael Gilbert, University of Virginia

Andrew Hayashi, University of Virginia

*Legality and Liminality: Legal Theory, Compliance and Foundations of a Behavioral Jurisprudence*

Antara Haldar, University of Cambridge

*Data-Driven Trust*

Yuval Feldman, Bar-Ilan University

Ori Aronson, Bar-Ilan University

Orly Lobel, University of San Diego

Chair: Yuval Feldman, Bar-Ilan University

**3:00pm-3:30pm: Tea and Coffee Break**

**3:30pm-5:00pm Awards Plenary (Room: Grossman Hall, NT01-01)**

*Pragmatic Regulation: Governing Inside the House of Science*

Susan Silbey, Massachusetts Institute of Technology

Winner of the 2023 ComplianceNet Lifetime Achievement Award

**5:00pm-6:30pm: Reception with Drinks and Snacks**

**Friday, June 23**

**9:00am-10:30am Panel Sessions**

**Compliance and Human Rights (Room: NT14)**

*International Law in the Boardroom*

Kish Parella, Washington and Lee University

*The Nickel Mining Industry in Indonesia: Human Rights Risks and Compliance Challenges for U.S. Businesses Considering Joint Ventures*

Alissa Chase, Catholic University of America

*Circumventing Change: Prison Oversight, Data Governance, and the Chameleonic Nature of 'Segregation'*

Jihyun Kwon, University of Toronto

Kelly Hannah-Moffat, University of Toronto

Kelly Struthers Montford, Toronto Metropolitan University

*The Impact of the Increasing Convergence of Anti-corruption Measures and Human Rights Compliance on Global Supply Chains*

Sarah Helene Duggin, Catholic University of America

Chair: Kish Parella, Washington and Lee University

**Transparency and Corruption (Room: NT15)**

*Shell Companies v. Transparency: Privacy Challenges to Beneficial Ownership Reporting Requirements in the U.S and EU*

Reyhaneh Sedighi Hashtjin, Fordham University/New York State Bar

*Fulfilling Transparency's Promise? The State of Open Data in Key Anti-Corruption Data Sets*

Joseph Foti, Open Government Partnership

Kristen Rose, Open Government Partnership

*Transparency and Tax Compliance: The Case of the UBIT*

Brian Galle, Georgetown University

Ben Marx, University of Illinois—Urbana Champaign

*The 'Skimm' on Parental Leave Disclosure*

Courtlyn Roser-Jones, Ohio State University

Chair: Brian Galle, Georgetown University

**10:30am-11:00am: Tea and Coffee Break**

**11:00am-12:30pm Closing Plenary (Room: Grossman Hall, NT01-01)**

**Technology and Regulatory Compliance**

*Artificial Intelligence and Compliance with Anti-Bribery Laws*

Philip Nichols, University of Pennsylvania

*The After Party: Cynical Resignation in AdTech’s Pivot to Privacy*

Lee McGuigan, University of North Carolina, Chapel Hill

Sarah Myers West, AI Now Institute

Ido Sivan-Sevilla, University of Maryland

Patrick Parham, University of Maryland

*Legitimacy and self-regulation in online governance*

Tom Tyler, Yale University

Chair: Colin Provost, University College London

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