

ComplianceNet

Inaugural Conference

Measuring Compliance in the 21st Century

June 1-2, 2018

University of California, Irvine School of Law

UCI Law
Compliance Lab

www.compliancenet.org

Directions & Parking

Law School

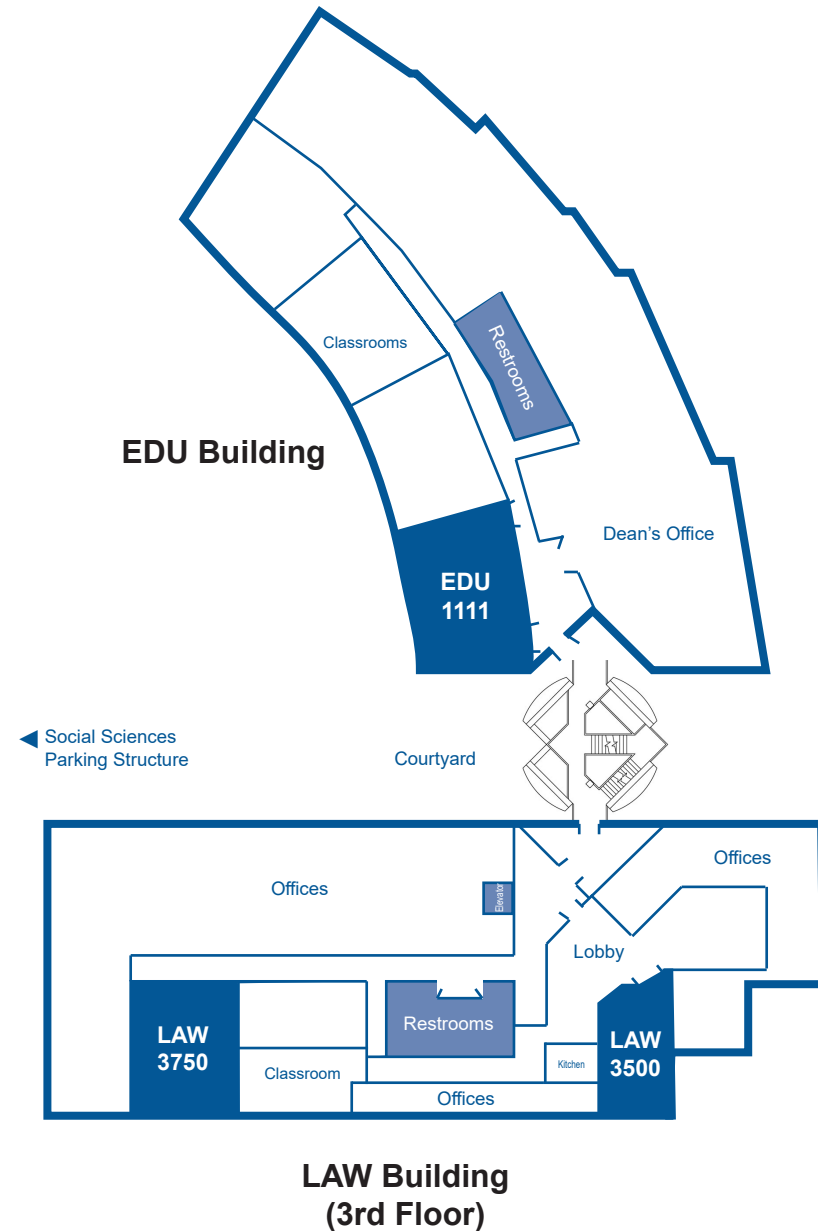
UCI School of Law
401 E. Peltason Dr. Irvine, CA 92697

From the 405 Freeway Northbound:

- Exit at University Drive.
- Left on University Drive.
- Left on Campus Drive.
- Right on Stanford Avenue into Social Sciences Parking Structure.
- **Receive your parking pass by mentioning the event name, ComplianceNet Conference, to the kiosk attendant.**
 - A general use pass allows parking in the Social Sciences structure next to our building, which is denoted by the sign: Law * Education.
 - Reserved permits may be purchased to allow for parking in any AR Reserved spot in the lot adjacent to the building.

From the 405 Freeway Southbound:

- Exit at Jamboree Road.
- Right on Jamboree Road.
- Left on Campus Drive.
- Right on Stanford Avenue into Social Sciences Parking Structure.
- **Receive your parking pass by mentioning the event name, ComplianceNet Conference, to the kiosk attendant.**
 - A general use pass allows parking in the Social Sciences structure next to our building, which is denoted by the sign: Law * Education.
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Directions & Parking

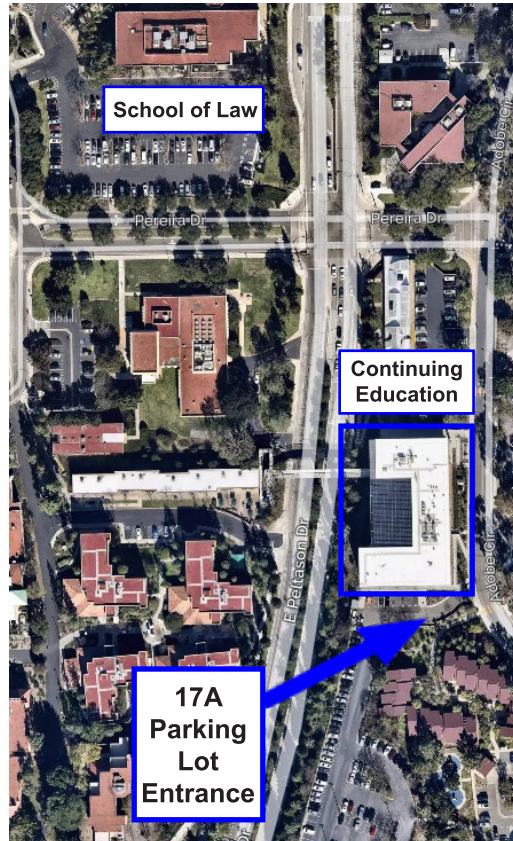
UCI Division of Continuing Education
510 E. Peltason Dr.
Irvine, CA 92697

405 Freeway Northbound:

- Exit at University Drive.
- Left on University Drive.
- Left on Campus Drive.
- Right on East Peltason Drive.
- Left on Pereira Drive.
- Right on Adobe Cir.
- Park in Lot 17A, which will be the second right on Adobe Cir.
- **Receive your parking pass by mentioning the event name, ComplianceNet Conference, to the parking attendant.**

From the 405 Freeway Southbound:

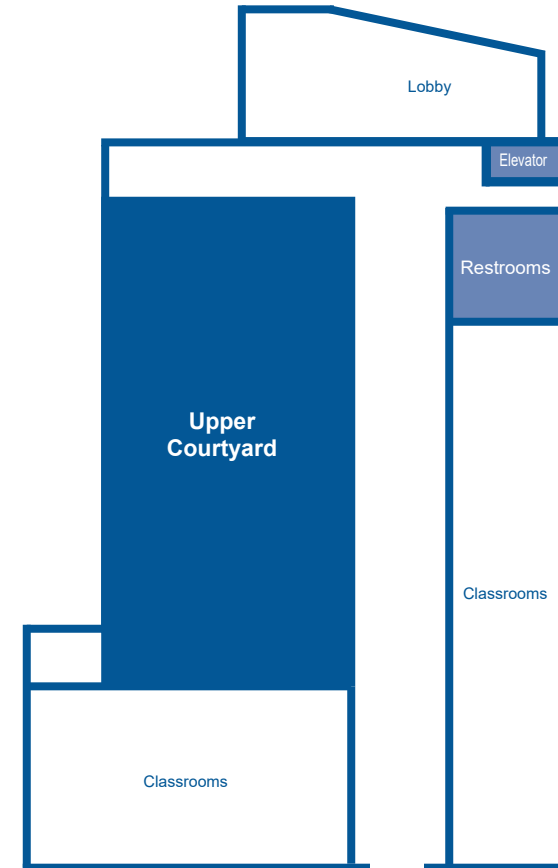
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Continuing Education

UCI Division of Continuing Education

Thursday and Friday receptions will be held in the Upper Courtyard.



17A Parking Lot

Schedule

Thursday, May 31

Time	Session Information	Location
7:00 p.m.	Welcome reception	UCI Division of Continuing Education Courtyard

Friday, June 1

Time	Session Information	ROOM EDU 1111
9:00 a.m.	Opening Remarks	Benjamin van Rooij (University of California, Irvine)
9:15 a.m.	Plenary Session	1) Eugene Soltes (Harvard Business School): Evaluating the Effectiveness of Corporate Compliance Programs: Establishing a Model for Prosecutors, Courts, and Firms 2) Judith van Erp (Utrecht University, Faculty of Law, Economics and Governance): Opportunities for Regulatory Noncompliance in Complex International Regulatory Regimes: The Case of the Volkswagen Diesel Fraud
10:15 a.m.	Coffee Break	
10:45 a.m.	Parallel Sessions	<div>ROOM LAW 3500</div> <div>ROOM LAW 3750</div>

Discretion, Coherence & Vagueness
(3 papers, 25 minutes each)
Chair: Emily Ryo
1) [Ryan Copus](#) (Harvard Law School): Detecting Inconsistency in Governance
2) [Paula Chadderton](#) (University of Canberra): Normative Coherence in Multi-faceted Regulatory Regimes: An Analysis of Australia's Anti-Money Laundering Regulatory Regime
3) [Christopher Pryor](#) (University of Florida): Wandering through the Fog of Law: The Sources and Effects of Vague Formal Institutions

Emerging Approaches to Compliance and Enforcement
(3 papers, 25 minutes each)
Chair: Yuval Feldman
1) [Timo Goeschl](#) (Heidelberg University): Cold Case: The Forensic Economics of Energy Efficiency Labels on Domestic Refrigeration Appliances
2) [Matthew Amengual](#) and [Greg Distelhorst](#) (MIT): Aligning Sourcing with Labor Standards? Incentives and Pressures in Global Purchasing Practices
3) [Regina Jefferies](#) (University of New South Wales): "Street-Level Bureaucrats" in International Human Rights Law Compliance

12:00 p.m.	Lunch Break		
1:00 p.m.	Business Meeting	ROOM EDU 1111	
2:00 p.m.	Parallel Sessions	ROOM LAW 3500	ROOM LAW 3750
		Compliance Experiments & Evidence (3 papers, 25 minutes each) <i>Chair: Elizabeth Cauffman</i> 1) Ben Meiselman (Johns Hopkins University): Text When You Are on the Way? Evidence on the Efficacy of Announcing Inspections from a Randomized Natural Field Experiment 2) Sven Simon (Max Planck Institute for Tax Law and Public Finance): Compliance in Teams – Implications of Joint Decisions and Shared Consequences 3) Sean Sullivan (University of Iowa College of Law): Insincere Evidence	New Compliance Methods and Findings (3 papers, 25 minutes each) <i>Chair: Shauhin Talesh</i> 1) Melissa L. Rorie (University of Nevada, Las Vegas): Factorial Survey Research in the Study of Corporate Compliance 2) Benjamin van Rooij (with Yunmei Wu): Compliance Pluralism: Understanding the Polynormative and Dynamic Nature of Corporate Responses to Law 3) Geeyoung Min (Columbia Law School): Which Firms Appoint Compliance Committees and Officers, and Why?
3:15 p.m.	Coffee Break		
3:45 p.m.	Plenary Session	ROOM EDU 1111	
		Good & Bad Apples in Compliance: An Ethics Approach (3 papers, 25 minutes each) <i>Chair: Robin Keller</i> 1) Todd Haugh (Indiana University, Kelley School of Business): The Power Few of Corporate Compliance 2) Yuval Feldman (Bar-Ilan University): Differentiated Regulation across People and Situations: A Behavioral Ethics Perspective to Personalized Law 3) Michael Kurschilgen (Technical University Munich, School of Management): What Stabilizes Hierarchical Social Order? The Role of Redistribution	
5:15 p.m.		Reception at UCI Division of Continuing Education Courtyard	

Schedule

Saturday, June 2

Time	Session Information	ROOM EDU 1111	
9:00 a.m.	Plenary Session	Corporate Enforcement in the US (2 papers, 25 minutes each) <i>Chair: Sally Simpson</i> 1) Colin Provost (School of Public Policy, University College London): The Complexity of Revolving Doors in Regulation: Evidence from State Attorney General Regulatory Networks 2) Alex Raskolnikov (Columbia Law School): A Theory of Incentive-Based Regulation	
10:15 a.m.	Coffee Break		
10:45 a.m.	Parallel Sessions	ROOM LAW 3500	ROOM LAW 3750
		EU Enforcement & Compliance (3 papers, 25 minutes each) <i>Chair: Judith van Erp</i> 1) Sara Drake (Cardiff University): Legal Rights Without Effective Compliance Solutions: Assessing the Effectiveness of the EU Passenger Rights Regime 2) Melanie Smith (Cardiff University): Regulatory Enforcement in the EU: In Search of an Interdisciplinary Approach 3) Esther Versluis (Maastricht University): Post-legislative Guidance as a Tool to Increase Domestic Compliance with EU Law	Opportunity & Capacity for Compliance (3 papers, 25 minutes each) <i>Chair: Benjamin van Rooij</i> 1) Robert Eli Rosen (University of Miami Law School): Sensor-tive Cars: Compliance for the Head, Not the Tail of Traffic Safety 2) Nachshon (Sean) Goltz (Waikato University School of Law): A Framework for an Artificial Intelligent Learning Compliance System 3) Leo Beletsky (School of Law and Bouve College of Health Sciences, Northeastern University): Expanding and Improving Substance Use Treatment in Response to the Opioid Crisis: 21st Century Cures Act and its Street-Level Impact
12:00 p.m.	Lunch Break		

1:00 p.m.	Parallel Sessions	ROOM LAW 3500 Rule Intermediaries and Compliance (3 papers, 25 minutes each) <i>Chair: Ruthanne Huising</i> 1) Shauhin Talesh (University of California, Irvine): How Legal Intermediaries Facilitate and Inhibit Social Change 2) Doron Dorfman (Stanford Law School): Non-Compliance and Abuse of Disability Law: Issues of Measurement and Public Perception 3) Adam Fine (University of California, Irvine): Juvenile Probation Compliance	ROOM LAW 3750 Measuring Corporate Compliance (3 papers, 25 minutes each) <i>Chair: Eugene Soltes</i> 1) Florentin Blanc (World Bank Group): Measuring Compliance, Measuring Outcomes—Challenges in Assessing Regulatory Effectiveness: Case Study on Occupational Safety—and Perspectives from Other Regulatory Areas 2) Hui Chen (HuiChenEthics.com): Measurement as Evidence of Compliance 3) Ricardo Pellafone (Broadcat): Practical Hurdles to Measuring Compliance
2:15 p.m.	Coffee Break		
2:45 p.m.	Parallel Sessions	ROOM LAW 3500 Contested Connotations of Compliance in Practice: Does Compliance Always Deliver the Principles of the Legislation? (4 papers, 25 minutes each) <i>Chair: Alan Page</i> 1) Ruth Plume (Middlesex University): Impact of Austerity on the Delivery of PH Compliance: A Study of English Environmental Health Regulators 2) Adam Choonara (Middlesex University): An Exploration of the England, Wales and Northern Ireland Based Mandatory Public Food Hygiene Safety Scoring 3) Maeve O'Loughlin (Middlesex University): Turning Compliance Upside Down: Adaptive Safety in the Construction Industry 4) Lisa Borley (Middlesex University): The Role of Confidence in Management Within the Health and Safety System Operated by Local Authority Regulators	ROOM LAW 3750 Compliance & Enforcement in Comparison (4 papers, 25 minutes each) <i>Chair: Susan Silbey</i> 1) Daniel Sokol (University of Florida): Outreach versus Enforcement in the Implementation of Antitrust Compliance Programs: Empirical Evidence of Microdata 2) Roy Gava (University of Geneva): Global Enforcement of Financial Regulation: An Empirical Assessment 3) Subodh Mangesh Wagle (Indian Institute of Technology Bombay, India): Understanding Policy Non-Compliance in Developing Countries: A Context-Relevant Model and its Application 4) Mariam Adepeju Abdulraheem-Mustapha (University of Ilorin, Nigeria): Police Force as an Institution in the Administration of Juvenile Justice in Nigeria: An Empirical Discourse
4:25 p.m.	Adjournment		

Participants

Name	Affiliation
Mariam Adepeju Abdulraheem-Mustapha	Faculty of Law, University of Ilorin, Nigeria
Matthew Amengual	MIT Sloan School of Management
Bahrudin Bahrudin	University of Melbourne School of Social and Political Sciences
Leo Beletsky	School of Law and Bouve College of Heath Sciences, Northeastern University
Florentin Blanc	World Bank Group
Lisa Borley	Middlesex University
Debra Burke	Western Carolina University School of Accounting, Finance, Information Systems and Business Law
David Campin	The University of Queensland
Elizabeth Cauffman	University of California, Irvine School of Social Ecology
Paula Chadderton	University of Canberra School of Law
Hui Chen	HuiChenEthics.com
Adam Choonara	Middlesex University School of Science & Technology
Ryan Copus	Harvard Law School
Hamilton Fernando Cota Cruz	George Washington University
Terry Dalton	University of California, Irvine School of Social Ecology
Cristina M. De Haro	University of California, Irvine Paul Merage School of Business
Greg Distelhorst	MIT Sloan School of Management
Renan Silva do Nascimento	Brazilian Government
Doron Dorfman	Stanford Law School
Sara Drake	Cardiff University School of Law and Politics
Henry Engler	Thomson Reuters Regulatory Intelligence
Sheldon Evans	Gibson, Dunn & Crutcher, LLP
Yuval Feldman	Bar-Ilan University Law School

Name	Affiliation
Adam Fine	University of California, Irvine School of Social Ecology
Roy Gava	University of Geneva
Alexandre Gonçalves Filho	National Electric Energy Agency
Lynn Forsythe	California State University, Fresno Craig School of Business
Navjyot Gill	University of California, Irvine School of Social Ecology
Timo Goeschl	Heidelberg University
Nachshon (Sean) Goltz	Waikato University School of Law
Veronica Gonzalez	University of California, Irvine School of Social Ecology
Lars Grava	World Bank Group
Todd Haugh	Indiana University, Kelley School of Business
Ruthanne Huising	Emlyon Business School
Regina Jefferies	University of New South Wales
Aleksandra Jordanoska	University of Manchester School of Law
L. Robin Keller	University of California, Irvine Paul Merage School of Business
Summer Kim	University of California, Irvine School of Law
Michael Kurschilgen	Technical University Munich, School of Management
Peter Lindseth	University of Connecticut School of Law
Jiancheng Lyu	University of California, School of Physical Sciences
Ben Meiselman	Johns Hopkins University
Geeyoung Min	Columbia Law School
Maeve O'Loughlin	Middlesex University School of Science & Technology
Salifu David Onu	Federal University Wukari, Taraba State, Nigeria
Alan Page	Middlesex University School of Science & Technology

Participants

Name	Affiliation
Ricardo Pellafone	Broadcat
Lauren Peritz	University of California, Davis College of Letters and Science
Ruth Plume	Middlesex University School of Science & Technology
Colin Provost	University College London School of Public Policy
Christopher Pryor	University of Florida Warrington College of Business
Fu Qin	Sichuan University, China
Alex Raskolnikov	Columbia Law School
Melissa L. Rorie	University of Nevada, Las Vegas Greenspun College of Urban Affairs
Robert E. Rosen	University of Miami Law School
Emily Ryo	USC Gould School of Law
Bing Shui	Faculty of Law, University of Macao
Susan S Silbey	MIT Sloan School of Management
Sven A. Simon	Max Planck Institute for Tax Law and Public Finance
Sally S. Simpson	University of Maryland College of Behavioral and Social Sciences
Melanie Smith	Cardiff University School of Law and Politics
Daniel Sokol	University of Florida Levin College of Law
Eugene Soltes	Harvard Business School
Sean Sullivan	University of Iowa College of Law
Virginia Suveiu	University of California, Irvine Division of Continuing Education
Anne Takher	Ethics & Legal Compliance, Intel Corporation
Shauhin Talesh	University of California, Irvine School of Law
Wesley Fernando Usida	National Electric Energy Agency
Judith van Erp	Utrecht University, Faculty of Law

Name	Affiliation
Benjamin van Rooij	University of California, Irvine School of Law; University of Amsterdam School of Law
Andres Freire De Carvalho Venancio	National Electric Energy Agency
Esther Versluis	Maastricht University
Jose Villa	Universidad Panamericana
Subodh Mangesh Wagle	Indian Institute of Technology Bombay, India
Daniela Wilks	Universidade Europeia
Yunmei Wu	University of Amsterdam School of Law

This first conference will focus on measuring compliance. It seeks to explore the strengths and limitations of various approaches, to identify how measurement strategies have shaped compliance management and law enforcement practices, and to understand how we can improve measurement, for instance through new technology and combining methods.

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